



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,229	12/20/2001	Grzegorz J. Pakulski	13149-003001

CONFIRMATION NO. 9027

## FORMALITIES LETTER



\*OC000000007462143\*

DENIS G. MALONEY  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The signature of the following inventor(s) is missing from the oath or declaration:  
*Grzegorz J. Pakulski*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

*Sadie Ibrahim*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2002 BABRAHA1 00000066 10027229

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Grzegorz J. Pakulski et al.      Art Unit : Unknown  
Serial No. : 10/027,229      Examiner : Unknown  
Filed : December 20, 2001  
Title : HYBRID CONFINEMENT LAYERS OF BURIED HETEROSTRUCTURE  
SEMICONDUCTOR LASER

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed February 12, 2002 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$870 is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

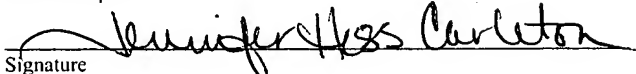
It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 21, 2002

Date of Deposit

Signature 

Jennifer Hess Carleton

Typed or Printed Name of Person Signing Certificate

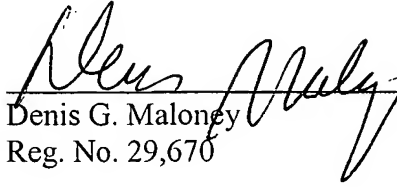
Applicant : Grzegorz J. Pakulski et al.  
Serial No. : 10/027,229  
Filed : December 20, 2001  
Page : 2

Attorney's Doc. No.: 13149-003001 / 14404RO

Respectfully submitted,

Date: \_\_\_\_\_

5/21/02

  
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